



**ADM Investor Services, Inc.**  
 1600A Board of Trade Building  
 141 W. Jackson Boulevard  
 Chicago, IL 60604  
 T 312.242.7000 F. 312.242.7045

**LIMITED POWER OF ATTORNEY**

Account Number: \_\_\_\_\_

To: ADM Investor Services, Inc. and its affiliates, successors and assigns  
 141 West Jackson Boulevard, Suite 1600A  
 Chicago, IL 60604

The undersigned hereby authorizes \_\_\_\_\_ as its agent and attorney-in-fact ("Agent") to buy and sell and otherwise deal in commodities, commodity futures, options on commodity futures, and foreign exchange instruments for the undersigned's account and risk. The undersigned hereby agrees to indemnify and hold you harmless from all loss, cost, indebtedness and liabilities arising therefrom.

In all such purchases and sales ADM Investor Services, Inc. ("ADMIS") is authorized to follow the instructions of the aforesaid Agent in every respect concerning the undersigned's account with you; and except as herein otherwise provided, our Agent is authorized to act for the undersigned in the same manner and with the same force and effect as the undersigned might or could do with respect to such purchases and sales as well as with respect to all other things necessary or incidental thereto, except that our Agent is not authorized to withdraw any money, securities, or other property, either in the name of the undersigned or otherwise.

The undersigned hereby ratifies and confirms any and all transactions with you heretofore or hereafter made by the aforesaid Agent on behalf of or for the account of the undersigned.

This authorization and indemnity is in addition to (and in no way limits or restricts) any rights which you may have under any other agreement between you and the undersigned.

This authorization and indemnity is a continuing one and shall remain in full force and effect until revoked by the undersigned by a written noticed addressed to you and delivered to you at the above address, but such revocation shall not affect your liability in any way resulting from transactions initiated prior to such revocation. This authorization and indemnity shall inure to your benefit and that of your successors and assigns.

		<i>Joint Authorization (if applicable)</i>	
<b>Date:</b>	<input type="text"/>	<b>Date:</b>	<input type="text"/>
<b>Signature:</b>	<input type="text"/>	<b>Signature:</b>	<input type="text"/>
<b>Print Name:</b>	<input type="text"/>	<b>Print Name:</b>	<input type="text"/>

**LIMITED POWER OF ATTORNEY**

Account Number: \_\_\_\_\_

**THIS SECTION – to be completed by AGENT:**

**Check One:**  INDIVIDUAL     LEGAL ENTITY    Tax ID (EIN or SSN): \_\_\_\_\_

**Name of Account Controller**

**Address, City, State/Country, Zip/Postal Code**

**Phone**

**Email address**

*\*Please provide a **direct number, without any telephone extension.**  
\*\*Non-US respondents should also provide a valid **international area code.***

**NFA (if applicable):**

**LEI (if applicable):**

**Website Address (if any)**

**NFA ID/ Legal Entity Identifier - LEI (if any)**

If the Controller is an entity, please provide the following:

**Individual Contact Name:** \_\_\_\_\_

**Job Title:** \_\_\_\_\_

**Contact Phone:** \_\_\_\_\_

*\*Please provide a **direct number, without any telephone extension.**  
\*\*Non-US respondents should also provide a valid **international area code.***

**Relationship to Controller:** \_\_\_\_\_

**Contact Email Address:** \_\_\_\_\_

Agent has reviewed the registration requirements of the Commodity Exchange Act and the membership requirements of the National Futures Association ("NFA") relating to commodity pool operators and commodity trading advisors, and hereby represents to ADM Investor Services, Inc. that Agent falls under one of the following categories below. Please enter an "X" in the applicable box below that applies to you:

Agent does not engage in activities requiring registration and will notify ADMIS immediately if at any time this statement changes.

Agent is properly registered with the National Futures Association.

Is exempt from CFTC Registration under section: \_\_\_\_\_.

Agent hereby represents and warrants that it is now, and will remain during the term of this authorization, in compliance with all applicable registration requirements of the Commodity Exchange Act and the membership requirements of the National Futures Association; and Agent will notify ADM Investor Services, Inc.'s Compliance Department by email: [compliance@admis.com](mailto:compliance@admis.com); or by phone (312) 242-7000 if any of the above statements change:

**Date:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**CONTROLLER IDENTIFICATION SUPPLEMENT**

Account Number	
Office Code	Sales Code

THE FOLLOWING INFORMATION WILL BE NECESSARY TO FULFILL POTENTIAL CFTC REPORTING REQUIREMENTS.  
**(FORM 102 AND LARGE TRADER)**

*Please complete the Control information below. It is imperative that you provide this information promptly to ensure that your account(s) remains in compliance with the CFTC.*

Account Name: \_\_\_\_\_

~ Attach additional sheets if necessary ~

**Account Controller(s):** Report all persons who control the trading of the account

**#1**

Name: \_\_\_\_\_

Address, City, State/Country, Zip/Postal Code: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Employer NFA ID (if any): \_\_\_\_\_ Employer Legal Entity Identifier - LEI (if any): \_\_\_\_\_

Job Title: \_\_\_\_\_ Relationship to Owner: \_\_\_\_\_

Contact Phone: \_\_\_\_\_ Controller NFA ID (if any): \_\_\_\_\_

*\*Please provide a direct number, without any telephone extension.*

*\*\*Non-US respondents should also provide a valid international area code.*

Contact Email Address: \_\_\_\_\_

**#2**

Name: \_\_\_\_\_

Address, City, State/Country, Zip/Postal Code: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Employer NFA ID (if any): \_\_\_\_\_ Employer Legal Entity Identifier - LEI (if any): \_\_\_\_\_

Job Title: \_\_\_\_\_ Relationship to Owner: \_\_\_\_\_

Contact Phone: \_\_\_\_\_ Controller NFA ID (if any): \_\_\_\_\_

*\*Please provide a direct number, without any telephone extension.*

*\*\*Non-US respondents should also provide a valid international area code.*

Contact Email Address: \_\_\_\_\_

~ Attach additional sheets if necessary ~

Date:

Signature:

Print Name:

In November 2013, the U.S. Commodity Futures Trading Commission ("CFTC") finalized new rules for Ownership and Control Reporting ("OCR") which significantly expanded the reporting requirements for US FCMs and their clients under CFTC Regulation Part 17 CFR 17.01(a), 17.01(b) and 20.5. In accordance, ADM Investor Service, Inc. (ADMIS) is required to obtain the above information with regard to the ownership and control of clearing accounts at ADMIS. This rule officially becomes effective in August 2014.